

Form 210A (10/06)

# United States Bankruptcy Court

SOUTHERN District Of NEW YORK

In re LEHMAN BROTHERS HOLDINGS INC Case No. 08-13555 (JMP)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

CVF Lux Securities Trading S.a.r.l.  
Name of Transferee

Name and Address where notices to transferee  
should be sent:  
c/o CarVal Investors UK Limited  
Knowle Hill Park  
Fairmile Lane  
Cobham  
Surrey KT11 2PD  
Phone: \_\_\_\_\_  
United Kingdom  
Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

Tang Capital Partners, LP  
Name of Transferor

Court Claim # (if known): 33448  
Amount of Claim: \$24,459,904.64  
Date Claim Filed: September 21, 2009

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: /s/ David Short  
Transferee/Transferee's Agent

Date: March 18, 2011

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

EXHIBIT D

In re:

Lehman Brothers Holdings, Inc.

Debtor

Case No. 08-13555 (JPM)

Chapter 11

**NOTICE OF TRANSFER OF CLAIM  
PURSUANT TO RULE 3001(e)**

PLEASE TAKE NOTICE that any and all claims of Tang Capital Partners, LP ("Assignor") that are scheduled by the Debtor(s) and or filed as an original or amended Proof of Claim against the Debtor(s), including but not limited to the following:

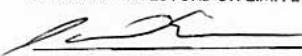
Scheduled Claim Amount	Claim No
\$24,459,904.64	33448

have been transferred and assigned to CVF Lux Securities Trading Sarl. ("Assignee"). The signature of Assignor on this document is evidence of the transfer of the claims and all rights thereto.

Assignor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Bankruptcy Rules, and stipulates that an order may be entered recognizing this Assignment as an unconditional assignment and the Assignee herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to the Assignee.

ASSIGNEE: CVF Lux Securities Trading Sarl.

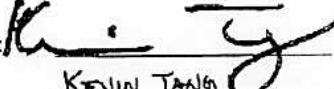
Address: c/o CarVal Investors UK Limited  
Knowle Hill Park  
Fairmile Lane  
Cobham  
Surrey KT11 2PD  
United Kingdom  
BY CARVAL INVESTORS UK LIMITED

Signature: 

Name: DAVID SHORT  
Title: OPERATIONS MANAGER  
Date: \_\_\_\_\_

ASSIGNOR: Tang Capital Partners, LP

Address: Seward & Kissel LLP  
Attn: Justin L. Shearer, Esq.  
One Battery Park Plaza  
New York, NY 1004

Signature: 

Name: KEVIN TANG  
Title: MANAGER OF TANG CAPITAL MANAGEMENT, LLC,  
GENERAL PARTNER  
Date: MARCH 16, 2011

Lehman Brothers Holdings Inc. (Chapter 11)  
Change Client

Client Home   Claims   Docket   Key Documents

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## Search Claims

Criteria

<b>Claim Number</b>	<b>Creditor Name</b>	<b>Scope</b>
33448	Name Starts With	Claims and schedules
<b>Schedule Number</b>	<b>Amount</b>	
	Total Claim Value	Equals
<b>Debtor</b>	<b>Claim Date Range</b>	
	to	

Order By: Creditor Name

Results Per Page: 50

Results

Expand All

[1] Page 1 of 1 - 01 total items

Claim #	Schedule # Creditor Name	Date	Total Claim Value	
33448	TANG CAPITAL PARTNERS, LP C/O SEWARD & KISSEL LLP ATTN : JUSTIN L. SHEARER, ESQ. ONE BATTERY PARK PLAZA NEW YORK, NY 10004	9/21/2009	\$24,459,904.64	
Debtor: Lehman Brothers Holdings Inc.      Remarks: CLAIMED PARTIALLY UNLIQUIDATED				

[1] Page 1 of 1 - 01 total items

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